



---

**SECRETARIAL COMPLIANCE REPORT OF THE SUKHJIT STARCH AND CHEMICALS LIMITED  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2021**

---

We have examined:

- a) all the documents and records made available to us and explanation provided by **The Sukhjit Starch and Chemicals Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification.

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable on the Company for the Review Period)**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

**Jalandhar Office:**

Raj Chambers, 5-6 Hind Samachar  
Street, Jalandhar-144001

**Delhi Office:**

63/12 First Floor, Main Rama Road,  
Kirti Nagar, New Delhi-110015





# Dinesh Gupta & Co.

Company Secretaries

GSTIN: 03AAHFD7181N1Z5

Phone: 0181-2280315 | E-mail: dinesh.gupta@dsblawgroup.com | www.dgclaw.in



- (d) Securities and Exchange Board of India (Buy Back of Securities) Regulations, 2018; **(Not applicable on the Company for the Review Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable on the Company for the Review Period)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable on the Company for the Review Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable on the Company for the Review Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with client; **(To the extent applicable to the Company)**
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(c) There was no action taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

#### Jalandhar Office:

Raj Chambers, 5-6 Hind Samachar  
Street, Jalandhar-144001

#### Delhi Office:

63/12 First Floor, Main Rama Road,  
Kirti Nagar, New Delhi-110015





# Dinesh Gupta & Co.

Company Secretaries

GSTIN: 03AAHFD7181N1Z5

Phone: 0181-2280315 | E-mail: dinesh.gupta@dsblawgroup.com | www.dgclaw.in



(d) There was no observation in the Secretarial Compliance Report/ Secretarial Audit Report for the previous years, therefore no action was required to be taken by the listed entity.

For Dinesh Gupta & Co.  
Company Secretaries



*Dinesh Gupta*  
Dinesh Gupta  
Partner

FCS No. F3462

CP. No.1947

UDIN: F003462C000398846

Place: Jalandhar

Dated: May 31, 2021

#### Jalandhar Office:

Raj Chambers, 5-6 Hind Samachar  
Street, Jalandhar-144001

#### Delhi Office:

63/12 First Floor, Main Rama Road,  
Kirti Nagar, New Delhi-110015

